



**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.  
724/P10-258981

Total Pages :

First Named Inventor or Application Identifier

Toshimitsu ISHIKAWA et al.

Express Mail Label No.:

**APPLICATION ELEMENTS**

*See MPEP chapter 600 concerning utility patent application contents.*

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
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|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Specification                    <i>[Total Pages - 16]</i><br/><i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>3. <input type="checkbox"/> Drawing(s) <i>(35 USC 113)</i>    <i>[Total sheets - ]</i></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration    <i>[Total Pages - 2]</i></p> <p>a.1. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>a.2. <input type="checkbox"/> Unexecuted</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 17 completed)</i><br/><b><i>[Note Box 5 below]</i></b></p> <p>i. <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b><br/>Signed statement attached deleting inventor(s)<br/>named in the prior application, see 37 CFR<br/>1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference<br/><i>(usable if Box 4b is checked)</i><br/>The entire disclosure of the prior application, from which<br/>a copy of the oath or declaration is supplied under Box<br/>4b, is considered as being part of the disclosure of the<br/>accompanying application and is hereby incorporated by<br/>reference therein.</p> <p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br/> <input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____</p> | <p>6. Microfiche Computer Program <i>(Appendix)</i></p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy <i>(identical to computer copy)</i></li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> </p> <p align="center"><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement    <input type="checkbox"/> Power of Attorney<br/><i>(when there is an assignee)</i></p> <p>10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449<br/><input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><i>(Should be specifically itemized)</i></p> <p>14. <input checked="" type="checkbox"/> Small Entity Statement(s)<br/><input type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16. <input checked="" type="checkbox"/> Other    Claim for Small Entity Status and<br/>Change of Address</p> |
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September 10, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JU 09/09/1999 U.S. PTO  
09/09/1999 393168

In re application of

Toshimitsu ISHIKAWA et al.

Serial No. New

: Attn: APPLICATION BRANCH

Filed September 10, 1999

: Attorney Docket No. 724/P10-258981

SOFT CAPSULE

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$420.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$380.00

Assignment for Recordal ..... \$40.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Toshimitsu ISHIKAWA et al.

By

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshimitsu ISHIKAWA et al. : Attn: APPLICATION BRANCH

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Filed September 10, 1999 :

SOFT CAPSULE

**CLAIM FOR SMALL ENTITY STATUS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants hereby claim Small Entity Status for this application, based on the attached  
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37  
CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN.

Respectfully submitted,

Toshimitsu ISHIKAWA et al.

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